

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : October 12, 2001
K. Egashira, et al : IBM Corporation
Filing Date: Herewith : Dept.T81/Bldg. 503-3
Serial No. Not Assigned Yet : P.O. Box 12195
For: Method and System for Commodity Sales : Research Triangle Park,
: North Carolina 27709



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "A. Bruce Clay".

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| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. | SERIAL NO. |
| | JP920000319US1 | Not Assigned Yet |
| | APPLICANT: K. Egashira, et al | |
| | FILING DATE: | GROUP: |
| | 10/16/2001 | |

1c978 U.S. PTO
 09/977634
 10/15/01

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPRO.) |
|----------------------|--------------------|------------|-------------------------|--------|----------|----------------------------|
| AA | 5 7 9 4 2 0 7 | 08/11/1998 | Walker Asset Management | 705/23 | | 09/04/1996 |
| AB | | | | | | |
| AC | | | | | | |
| AD | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|----|--------------------|------------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| AL | 3 5 3 3 6 1 | 12/24/1999 | Japan | G06F | 17/16 | Yes | |
| AM | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| AR | |
| AS | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.